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| SERIAL NUMBER 10/689,136 | FILING OR 371(c) DATE 10/20/2003 RULE | CLASS 705 | GROUP ART UNIT 3691 | ATTORNEY DOCKET NO. 030679 |
| APPLICANTS Kevin G. Woodruff, New York, NY; Serkan Savasoglu, New York, NY; Nathan McMurtray, New York, NY; ** CONTINUING DATA ***** <i>my/td</i> ** FOREIGN APPLICATIONS ***** <i>my/td</i> | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/16/2004 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u> </u> Examiner's Signature <u> </u> Initials <u> </u> | | STATE OR COUNTRY NY | SHEETS DRAWING 3 | TOTAL CLAIMS 39 |
| | | INDEPENDENT CLAIMS 5 | | |
| ADDRESS KIRKPATRICK & LOCKHART , LLP MICHAEL D. LAZZARA ESQ. 535 SMITHFIELD STREET HENRY W. OLIVER BUILDING PITTSBURGH, PA15222-2313 | | | | |
| TITLE Investment structures, methods and systems involving derivative securities | | | | |
| FILING FEE RECEIVED 1284 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |